

## Electronic Acknowledgement Receipt

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|------------------------------------------|-----------------------------------------------------------------|
| <b>EFS ID:</b>                           | 1081646                                                         |
| <b>Application Number:</b>               | 10596566                                                        |
| <b>Confirmation Number:</b>              | 7929                                                            |
| <b>Title of Invention:</b>               | Polymeric Micellar Complexes and Drug Delivery Vehicles Thereof |
|                                          |                                                                 |
| <b>First Named Inventor:</b>             | Francis Ignatious                                               |
| <b>Customer Number:</b>                  | 20462                                                           |
| <b>Filer:</b>                            | William Han/Audrey Boyd                                         |
| <b>Filer Authorized By:</b>              | William Han                                                     |
| <b>Attorney Docket Number:</b>           | PU60627                                                         |
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| <b>Time Stamp:</b>                       | 14:29:52                                                        |
| <b>Application Type:</b>                 | U.S. National Stage under 35 USC 371                            |
| <b>International Application Number:</b> | PCT/US04/42768                                                  |

### Payment information:

|                                                                                                                                                                                             |        |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|
| Submitted with Payment                                                                                                                                                                      | yes    |
| Payment was successfully received in RAM                                                                                                                                                    | \$400  |
| RAM confirmation Number                                                                                                                                                                     | 813    |
| Deposit Account                                                                                                                                                                             | 192570 |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:<br>Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17 |        |

### File Listing:

| Document Number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Document Description                          | File Name      | File Size(Bytes) | Multi Part | Pages |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|----------------|------------------|------------|-------|
| 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Transmittal letter                            | NATL_TRANS.pdf | 85236            | no         | 1     |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                               |                |                  |            |       |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                               |                |                  |            |       |
| 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Information Disclosure Statement (IDS) Filed  | IDS_LTR.pdf    | 60719            | no         | 1     |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                               |                |                  |            |       |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                               |                |                  |            |       |
| This is not an USPTO supplied IDS fillable form                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                               |                |                  |            |       |
| 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Information Disclosure Statement (IDS) Filed  | 1449.pdf       | 1271255          | no         | 4     |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                               |                |                  |            |       |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                               |                |                  |            |       |
| A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems. |                                               |                |                  |            |       |
| 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Information Disclosure Statement (IDS) Filed  | ISR.pdf        | 138742           | no         | 2     |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                               |                |                  |            |       |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                               |                |                  |            |       |
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| 5                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Oath or Declaration filed                     | DEC.pdf        | 126694           | no         | 2     |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                               |                |                  |            |       |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                               |                |                  |            |       |
| 6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Power of Attorney (may include Associate POA) | POA.pdf        | 298189           | no         | 4     |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                               |                |                  |            |       |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                               |                |                  |            |       |
| 7                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                               | Prelim.pdf     | 110255           | yes        | 7     |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <b>Multipart Description</b>                  |                |                  |            |       |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Doc Desc                                      | Start          | End              |            |       |

|  |                                                  |   |   |
|--|--------------------------------------------------|---|---|
|  | Preliminary Amendment                            | 1 | 1 |
|  | Specification                                    | 2 | 2 |
|  | Claims                                           | 3 | 5 |
|  | Applicant Arguments/Remarks Made in an Amendment | 6 | 6 |
|  | Abstract                                         | 7 | 7 |

**Warnings:**

**Information:**

|   |                         |              |      |    |   |
|---|-------------------------|--------------|------|----|---|
| 8 | Fee Worksheet (PTO-875) | fee-info.pdf | 8388 | no | 2 |
|---|-------------------------|--------------|------|----|---|

**Warnings:**

**Information:**

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| <b>Total Files Size (in bytes):</b> | 2099478 |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.